

RECEIVED

IDS/#4  
2/6/02  
CASE CT-2562 NP  
JAN 16 2002  
FEB 05 2002  
1653  
TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Samuel J. DuBoff  
Type or print name

*Samuel J. DuBoff*  
Signature

January 18, 2002  
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PRE APPLICATION OF

NAIDU ET AL.

APPLICATION NO: 09/928,468

FILED: AUGUST 13, 2001

FOR: WATER SOLUBLE THIAZOLYL PEPTIDE DERIVATIVES

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Bristol-Myers Squibb Company  
Patent Department  
P.O. Box 4000  
Princeton, NJ 08543-4000  
(203) 677-7787



Samuel J. DuBoff  
Attorney for Applicants  
Reg. No. 25,969

Date: \_\_\_\_\_

1/18/02

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
CT-2564 NP  
APPLICATION NO.  
09/928,468  
APPLICANT  
NAIDU ET AL.  
FILING DATE  
AUGUST 13, 2001

Group



## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME                    | CLASS | SUBCLASS | FILING DATE |
|---------------------|----|-----------------|----------|-------------------------|-------|----------|-------------|
|                     | AA | 6,218,398       | 4/17/01  | J. E. Leet, et al       |       |          |             |
|                     | AB | 4,478,831       | 10/23/84 | C. Keller-Juslen, et al |       |          |             |
|                     | AC | 2,982,689       | 5/2 /61  | R. Donovan, et al       |       |          |             |
|                     | AD | 6,287,827       | 9/11/01  | W. Li, et al            |       |          |             |
|                     | AE | 2,982,698       | 5/2/61   | T. B. Platt, et al      |       |          |             |
|                     | AF |                 |          |                         |       |          |             |
|                     | AG |                 |          |                         |       |          |             |
|                     | AH |                 |          |                         |       |          |             |
|                     | AI |                 |          |                         |       |          |             |
|                     | AJ |                 |          |                         |       |          |             |
|                     | AK |                 |          |                         |       |          |             |
|                     | AL |                 |          |                         |       |          |             |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE    | OFFICE                 | CLASS | SUBCLASS | TRANSLATION              |                          |
|--|----|-----------------|---------|------------------------|-------|----------|--------------------------|--------------------------|
|  |    |                 |         |                        |       |          | YES                      | NO                       |
|  | AM | WO 00/03722     | 1/27/00 | PCT Patent Application |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AN | WO 00/14100     | 3/16/00 | PCT Patent Application |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AO |                 |         |                        |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AP |                 |         |                        |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|  | AQ |                 |         |                        |       |          | <input type="checkbox"/> | <input type="checkbox"/> |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

|  |    |   |
|--|----|---|
|  | AR | T. Sasaki, et al, J. OF ANTIBIOTICS, 51(8), pp. 715-721, 1998     |
|  | AS | C. Pascard, et al, J. AM. CHEM. SOC., 99(19), pp. 6418-6423, 1977 |
|  | AT | F. Benazet, et al, EXPERIENTIA, 36, pp. 414-416, 1980             |

EXAMINER

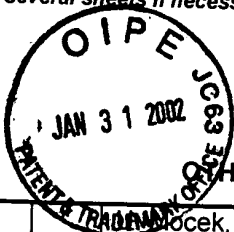
DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.  
CT-2564 NP  
APPLICATION NO.  
09/928,468  
APPLICANT  
NAIDU ET AL.  
FILING DATE  
AUGUST 13, 2001



**RECEIVED**  
 FEB 05 2002  
 Group  
 TECH CENTER 1600/2900

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

|    |  |
|----|--|
| AU | Mocek, et al, J. AM. CHEM. SOC., <b>115</b> (17), pp. 7557-7568, 1993    |
| AV | B. Anderson, et al, NATURE, <b>225</b> , pp. 233-235, 1970               |
| AW | V. Kumar, et al, J. NAT. PROD., <b>62</b> , pp. 1562-1564, 1999          |
| AX | S. Stella, et al, J. OF ANTIBIOTICS, <b>48</b> (8), pp. 780-786, 1995    |
| AY | M. S. Puar, et al, J. OF ANTIBIOTICS, <b>51</b> (2), pp. 221-224, 1998   |
| AZ | B. S. Yun, et al, J. ANTIBIOTICS, <b>47</b> (4), pp. 510-514, 1994       |
| BA | M. C. Bagley, et al, J. AM. CHEM. SOC., <b>122</b> , pp. 3301-3313, 2000 |
| BB |  |
| BC |  |
| BD |  |
| BE |  |
| BF |  |
| BG |  |
| BH |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.